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Just a reminder of various definitions related to transmission lines.

- voltage and current along a transmission line

$$v(x, t) = \text{Re}[V(x)e^{j\omega t}] \text{ and } i(x, t) = \text{Re}[I(x)e^{j\omega t}] \quad (1)$$

- characteristic (complex) impedance of the transmission line

$$Z_0 = \sqrt{\frac{R + j\omega L}{G + j\omega C}} \quad (2)$$

- uniform trans. lines

$$V(x) = Ae^{-\gamma x} + Be^{\gamma x} \text{ and } I(x) = \frac{A}{Z_0}e^{-\gamma x} - \frac{B}{Z_0}e^{\gamma x} \quad (3)$$



- propagation constant

$$\gamma = \alpha + j\beta = \sqrt{(R + j\omega L)(G + j\omega C)}$$

- phase velocity:  $v_p = \omega/\beta$
- incident wave:  $e^{-\gamma x} = e^{-\alpha x} e^{-j\beta x}$
- reflected wave:  $e^{\gamma x} = e^{\alpha x} e^{j\beta x}$
- electrical length of the line:  $\beta x$
- reflection coefficient:

$$\Gamma(x) = \frac{Be^{\gamma x}}{Ae^{-\gamma x}} = \Gamma_0 e^{2\gamma x} \quad \text{where } \Gamma_0 = \Gamma(x=0) = \frac{B}{A} \quad (4)$$



Input impedance

$$Z_{in} = \frac{V_x}{I_x} = Z_0 \frac{e^{-\gamma x} + \Gamma_0 e^{\gamma x}}{e^{-\gamma x} - \Gamma_0 e^{\gamma x}} \quad (5)$$

$$\text{At } x=0 \quad Z_{in}(0) = Z_L = Z_0 \frac{1 + \Gamma_0}{1 - \Gamma_0} \quad \text{or} \quad \Gamma_0 \frac{Z_L - Z_0}{Z_L + Z_0} \quad (6)$$

$Z_{in}$  at input terminals of transm. line,  $x=d$

$$Z_{in}(d) = Z_0 \frac{Z_L + Z_0 \tanh(\gamma d)}{Z_0 + Z_L \tanh(\gamma d)} \quad (7)$$

(note the change of positive direction)

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Lossless transm. line:  $\alpha = 0, \gamma = j\beta, \beta = \omega\sqrt{LC}, v_p = 1/\sqrt{LC}, \lambda = v_p/f, Z_0 = \sqrt{L/C}$ . Also

$$Z_{in}(d) = Z_0 \frac{Z_L + jZ_0 \tan(\beta d)}{Z_0 + jZ_L \tan(\beta d)} \quad (8)$$

From now on: tr. lines are lossless and uniform (unless specified otherwise).

(Voltage) standing wave ratio:

$$VSWR = \frac{|V(x)|_{max}}{|V(x)|_{min}} = \frac{1 + |\Gamma_0|}{1 - |\Gamma_0|} \quad \text{or} \quad |\Gamma_0| = \frac{VSWR - 1}{VSWR + 1} \quad (9)$$



Matched tr. line:  $\Gamma_0 = 0$ ,  $Z_{in} = Z_0$ , **VSWR=1**.

Shorted tr. line ( $Z_L = 0$ ):  $\Gamma_0 = -1$ , **VSWR= $\infty$** ,  $Z_{in}(d) = Z_{sc}(d) = jZ_0 \tan(\beta d)$

Open circuited tr. line:  $Z_L = \infty$ :  $\Gamma_0 = 1$ , **VSWR= $\infty$** ,  $Z_{in}(d) = Z_{oc}(d) = -jZ_0 \cot(\beta d)$

Quarter wave tr. line (transformer),  $d = \lambda/4$ , or  $\beta d = \pi/2$  (see eq. 8)  $\Rightarrow Z_{in}(d) = Z_{in}(\lambda/4) = Z_0^2/Z_L$



## Scattering matrix

Traveling waves:  $V^+ = Ae^{-\gamma x}$ ,  $V^- = Be^{\gamma x}$  so that the total voltage is  $V(x) = V^+(x) + V^-(x)$ .

Similarly for current:  $I(x) = I^+(x) - I^-(x)$ ,  $= V^+/Z_0 - V^-/Z_0$

Refl. coeff.:  $\Gamma(x) = V^-(x)/V^+(x)$

Introduce “normalized” variables:  $v(x) = V(x)/\sqrt{Z_0}$ ,  $i(x) = \sqrt{Z_0}I(x)$ ,  $a(x) = V^+(x)/\sqrt{Z_0}$ ,  $b(x) = V^-/\sqrt{Z_0}$ , so that

$v(x) = a(x) + b(x)$   $i(x) = a(x) - b(x)$  and  $b(x) = \Gamma(x)a(x)$

This defines a single port network. What about 2-port?



2-port figure. Generalize eq. :

$$\begin{aligned} b_1 &= S_{11}a_1 + S_{12}a_2 \\ b_2 &= S_{21}a_1 + S_{22}a_2 \end{aligned} \tag{10}$$

or in matrix form.

Each reflected wave ( $b_1, b_2$ ) has two contributions: one from the incident wave at the same port and another from the incident wave at the other port.

S-parameters, scattering parameters, scattering matrix. How to calculate them?



$$S_{11} = \left. \frac{b_1}{a_1} \right|_{a_2=0} \quad \text{input refl. coeff. with output matched} \quad (11)$$

$$S_{12} = \left. \frac{b_1}{a_2} \right|_{a_1=0} \quad \text{reverse trans. coeff. with input matched} \quad (12)$$

$$S_{21} = \left. \frac{b_2}{a_1} \right|_{a_2=0} \quad \text{transmission coeff. with output matched} \quad (13)$$

$$S_{22} = \left. \frac{b_2}{a_2} \right|_{a_1=0} \quad \text{output refl. coeff. with input matched} \quad (14)$$

“matched” = termination equal to the tr. line ch. impedance.  
Fig. 1.4.2.

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Note:  $Z_{out}$  (of 2-port) need not be matched to  $Z_{02}$ !!

Sufficient condition:  $Z_L = Z_{02} \Rightarrow a_2 = 0$ . Usually  $Z_{01} = Z_{02}$ .  
We measure “overall” S-parameters, i.e. including the tr. line on I/O.

Advantage: using matched resistive terminations to measure S-matrix, transistor does not oscillate.

Occasional use for Chain scattering parameters or T-parameters  
— mainly for cascading networks.

### Shifting reference planes

why: to “de-embed” the 2-port from tr. lines on I/O. Reference planes: positions along tr. lines (usually beginning and end). Fig. 1.5.1 (eqs by hand).

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Reference planes: positions along tr. lines (beginning and end).

Fig. 1.5.1:

$$\begin{bmatrix} b_1 \\ b_2 \end{bmatrix} = \begin{bmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \end{bmatrix} \quad \text{and} \quad \begin{bmatrix} b'_1 \\ b'_2 \end{bmatrix} = \begin{bmatrix} S'_{11} & S'_{12} \\ S'_{21} & S'_{22} \end{bmatrix} \begin{bmatrix} a'_1 \\ a'_2 \end{bmatrix}$$

$\Theta = \beta l$  are electrical lengths of tr. lines. Signal is delayed by  $\Theta$  as it travels from  $x = 0$  to  $x = l \Rightarrow$

$$\left. \begin{aligned} b'_1 &= b_1 e^{-j\Theta} & b'_2 &= b_2 e^{-j\Theta} \\ a_1 &= a'_1 e^{-j\Theta} & a_2 &= a'_2 e^{-j\Theta} \end{aligned} \right\} \Rightarrow \begin{aligned} b_1 &= b'_1 e^{j\Theta} & b_2 &= b'_2 e^{j\Theta} \\ a_1 &= a'_1 e^{-j\Theta} & a_2 &= a'_2 e^{-j\Theta} \end{aligned}$$

This is plugged into first part of eq. :

$$\begin{bmatrix} b'_1 \\ b'_2 \end{bmatrix} = \begin{bmatrix} S_{11} e^{-j2\Theta} & S_{12} e^{-j(\Theta_1 + \Theta_2)} \\ S_{21} e^{-j(\Theta_1 + \Theta_2)} & S_{22} e^{-j2\Theta} \end{bmatrix} \begin{bmatrix} a'_1 \\ a'_2 \end{bmatrix}$$



By inspection, first term on RHS must be  $= [S']$  matrix

Reverse relations obtained by expressing primed variables in eq. and plugging into second part of eqs.  $\Rightarrow$

$$\begin{bmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{bmatrix} = \begin{bmatrix} S'_{11}e^{j2\Theta_1} & S'_{12}e^{j(\Theta_1+\Theta_2)} \\ S'_{21}e^{j(\Theta_1+\Theta_2)} & S'_{22}e^{j2\Theta_2} \end{bmatrix}$$

### Properties of S-parameters

Start with fig. 1.6.1; assume that tr. lines are lossless and  $Z_0$ -s are real (usually 50 Ohm lines with 50 Ohm terminations).

At i-th port (here: 1 or 2):

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$$V_i(x_i) = V_i^+(x_i) + V_i^-(x_i) \quad (15)$$

$$I_i(x_i) = I_i^+(x_i) - I_i^-(x_i) = \frac{V_i^+(x_i)}{Z_{0i}} - \frac{V_i^-(x_i)}{Z_{0i}} \quad (16)$$

$$\text{define } a_i(x_i) = \frac{V_i^+(x_i)}{\sqrt{Z_{0i}}} = \sqrt{Z_{0i}} I_i^+(x_i)$$

$$= \frac{1}{2\sqrt{Z_{0i}}} [V_i(x_i) + Z_{0i} I_i(x_i)] \quad (17)$$

$$\text{and similarly } b_i(x_i) = \frac{V_i^-(x_i)}{\sqrt{Z_{0i}}} = \sqrt{Z_{0i}} I_i^-(x_i)$$

$$= \frac{1}{2\sqrt{Z_{0i}}} [V_i(x_i) - Z_{0i} I_i(x_i)] \quad (18)$$

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V-s and I-s are peak values. For  $\sin()$  signal  $\Rightarrow$  divide by  $\sqrt{2}$ .

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Average power associated with incident wave

$$\begin{aligned}
 P_i^+(x=0) &= \frac{1}{2} \operatorname{Re}[V_i^+(0)(I^+(0))^*] \\
 &= \frac{|V_i^+(0)|^2}{2Z_{0i}} = \frac{a_i(0)a_i^*(0)}{2} = \frac{|a_i(0)|^2}{2}
 \end{aligned} \tag{19}$$

and for reflected power

$$P_i^-(x=0) = \frac{|V_i^-(0)|^2}{2Z_{0i}} = \frac{b_i(0)b_i^*(0)}{2} = \frac{|b_i(0)|^2}{2} \tag{20}$$

Lines are lossless  $\Rightarrow P_i^+(0) = P_i^+(l)$  and  $P_i^-(0) = P_i^-(l)$

$\Rightarrow |a_i(x)|^2$  and  $|b_i(x)|^2$  represent power associated with incident and reflected waves anywhere on tr. lines.

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Consider Fig. 1.6.2: (port 1' excited by generator  $E_1 \angle 0^\circ$  and ports match terminated.

$$\text{At } x_2 = 0 : V_2(x_2 = 0) = -I_2(0)Z_{02}, \quad a_2(x_2 = 0) = 0 \quad (21)$$

$$\text{At } x_1 = 0 : V_1(x_1 = 0) = E_1 - Z_{01}I_1(0) \quad (22)$$

Resulting in (use eq. 17)

$$a_1(x_1) = \frac{E_1}{2\sqrt{Z_{01}}} \quad \text{or} \quad |a_1|^2 = \frac{|E_1|^2}{4Z_{01}} \Rightarrow P_1^+(0) = \frac{|E_1|^2}{8Z_{01}} \quad (23)$$

$\Rightarrow |a_1(x_1 = 0)|^2$  represents the power available from the source at port 1' and since tr. line is lossless it is also power available at port 1. Called  $P_{avs}$ . Independent of the input impedance of the 2-port network.

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If  $Z_1 \neq Z_{01}$ , after simple derivation (see book):

$$\frac{1}{2}(|a_1(0)|^2 - |b_1(0)|^2) = \frac{1}{2}\text{Re}[I_i(0)V_1^*(0)] \quad (24)$$

which represents power delivered to port 1 (or 1'). Call it  $P_1$  so that  $|b_1(0)|^2 = P_{\text{avs}} - P_1$  is reflected power from port 1 to port 1'.

Similarly,  $P'_L = P_L = |b_2|^2/2 = Z_{02}|I_2(0)|^2$  represents the power delivered to the load  $Z_{02}$

Summary: Generator send available power  $|a_1(0)|^2$  toward input port 1. This power is independent of input impedance  $Z_1$ . If  $Z_1 = Z_{01}$  (input matched to tr. line) then reflected power =0. Otherwise, some is reflected back to generator ( $= |b_1(0)|^2$ ). Net power delivered to port 1 is  $|a_1(0)|^2 - |b_1(0)|^2$ .

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How to use this to calculate S-parameters? See fig. 1.6.3 and use definition of  $S_{11}$

$$\begin{aligned} S_{11} &= \frac{b_1(l_1)}{a_1(l_1)} \Big|_{a_2(l_2)=0} = \frac{V_1^-(l_1)}{V_1^+(l_1)} \Big|_{V_2^+(l_2)=0} = \frac{Z_1 - Z_{01}}{Z_1 + Z_{01}} \end{aligned} \quad (25)$$

$S_{11}$  is reflection coefficient of port 1 when port 2 is match terminated.

Also, note that

$$|S_{11}|^2 = \left| \frac{b_1(l_1)}{a_1(l_1)} \right|_{a_2(l_2)=0}^2 = \frac{P_{avs} - P_1}{P_{avs}} \quad (26)$$

i.e. it is = ratio of power reflected from port 1 to power available at port 1.

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Completely analogous situation for  $S_{22}$ , just change indices (see fig. 1.6.4)

What about  $S_{21}$  (and  $S_{12}$ )? Take the definition:

$$S_{21} = \frac{b_2(l_2)}{a_1(l_1)} \Big|_{a_2(l_2)=0} = \frac{\sqrt{Z_{02}} I_2^-(l_2)}{\sqrt{Z_{01}} I_1^+(l_1)} \Big|_{I_2^+(l_2)=0} \quad (27)$$

The total current at  $l_2$  consists only of  $I_2^-$  (matching condition ensures that), i.e.  $I_2(l_2) = -I_2^-(l_2)$ .

$$S_{21} = \frac{-\sqrt{Z_{02}} I_2(l_2)}{\sqrt{Z_{01}} I_1^+(l_1)} \Big|_{I_2^+(l_2)=0} \quad (28)$$


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Furthermore,  $I_1^+(l_1) = E_{1,TH}/(2Z_{01})$

(why 2?  $a_1(0) = E_1/(2\sqrt{Z_{01}})$   
 $\Rightarrow a_1(l_1) = E_1/(2\sqrt{Z_{01}}) \exp(-j\Theta_1)$ .)

$Z_{TH} = Z_{01}$  (matched line),  $E_{TH} = |E_1| \angle -\Theta_1 \Rightarrow a_1(l_1) = \sqrt{Z_{01}} I_1^+(l_1) = E_{TH}/(2\sqrt{Z_{01}}) \Rightarrow I_1^+(l_1) = E_{TH}/(2Z_{01})$

Also:  $V_2(l_2) = -Z_{02} I_2(l_2) \Rightarrow$

$$S_{21} = \frac{\sqrt{Z_{02}} V_2(l_2)/Z_{02}}{\sqrt{Z_{01}} E_{1,TH}/(2Z_{01})} = 2 \sqrt{\frac{Z_{01}}{Z_{02}}} \frac{V_2(l_2)}{E_{1,TH}} \quad (29)$$

$\Rightarrow S_{21}$  is forward voltage transmission coefficient from port 1 to port 2.

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Also:

$$|S_{21}|^2 = \frac{\frac{1}{2}|V_2(l_2)|^2/Z_{02}}{|E_{1,TH}|^2/(8Z_{01})} = \frac{\text{P delivered to load}}{P_{avs}} \quad (30)$$

i.e.  $|S_{21}|^2$  is **transducer power gain** (note the loading conditions!).

$S_{12}$  analogous — change the indices.

Do example 1.6.1 for S-param. calculation and another ex.



$S_{11} = b_1(l_1)/a_1(l_1)$  ( $a_2(l_2) = 0$ ) is input reflection coeff. with output match terminated (tr. line matching condition)

$|S_{11}|^2 = (P_{avs} - P_1)/P_{avs}$  = ratio of power reflected from port 1 to power available from source

$S_{21} = 2\sqrt{Z_{01}/Z_{02}}V_2(l_2)/E_{1,TH}$  is forward transmission coeff. from port1 to port2 with output matched

$|S_{21}|^2 = P_L/P_{avs}$  is ratio of power delivered to load  $Z_{02}$  to available power = transducer power gain

For  $S_{22}$  and  $S_{12}$  just interchange ports (terminals).



## Generalized S-parameters

So far: 50 Ohm tr. lines and 50 Ohm terminations. How about n-port networks with general terminations?

$$V_i(x_i) = V_i^+(x_i) + V_i^-(x_i) \quad (31)$$

$$I_i(x_i) = I_i^+(x_i) - I_i^-(x_i) = \frac{V_i^+(x_i)}{Z_{0i}} - \frac{V_i^-(x_i)}{Z_{0i}} \quad (32)$$

$$\begin{aligned} \text{define } a_i(x_i) &= \frac{V_i^+(x_i)}{\sqrt{Z_{0i}}} = \sqrt{Z_{0i}} I_i^+(x_i) \\ &= \frac{1}{2\sqrt{Z_{0i}}} [V_i(x_i) + Z_{0i} I_i(x_i)] \end{aligned} \quad (33)$$

$$\begin{aligned} \text{and similarly } b_i(x_i) &= \frac{V_i^-(x_i)}{\sqrt{Z_{0i}}} = \sqrt{Z_{0i}} I_i^-(x_i) \\ &= \frac{1}{2\sqrt{Z_{0i}}} [V_i(x_i) - Z_{0i} I_i(x_i)] \end{aligned} \quad (34)$$



how to generalize this for any impedance?

$$[a] = \frac{1}{2} \left[ \frac{1}{\sqrt{R_{0,i}}} \right] ([V] + [Z_{0,i}] [I]) \quad (35)$$

$$[b] = \frac{1}{2} \left[ \frac{1}{\sqrt{R_{0,i}}} \right] ([V] - [Z_{0,i}]^* [I]) \quad (36)$$

$$[Z_{0,i}] = \begin{bmatrix} Z_{0,1} & 0 & \dots & 0 \\ 0 & Z_{0,2} & \dots & 0 \\ 0 & 0 & \dots & 0 \\ 0 & 0 & \dots & Z_{0,n} \end{bmatrix}$$



$$[R_{0,i}^{-1/2}] = \begin{bmatrix} (\operatorname{Re}(Z_{0,1}))^{-1/2} & 0 & \dots & 0 \\ 0 & (\operatorname{Re}(Z_{0,2}))^{-1/2} & \dots & 0 \\ 0 & 0 & \dots & 0 \\ \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & (\operatorname{Re}(Z_{0,n}))^{-1/2} \end{bmatrix}$$

$$a_1 = \frac{1}{2} R_{0,1}^{-1/2} (V_1 + Z_{0,1} I_1) \quad (37)$$

$$b_1 = \frac{1}{2} R_{0,1}^{-1/2} (V_1 - Z_{0,1}^* I_1) \quad (38)$$

As before, expressing  $V_1$  gives

$$V_1 = E_1 - Z_{G1} I_1 \Rightarrow a_1 = \frac{E_1}{2R_{0,1}^{1/2}} \Rightarrow |a_1|^2 = \frac{|E_1|^2}{4R_{0,1}} \quad (39)$$


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= power available from source at port 1.

Also (as before)

$$|a_1|^2 - |b_1|^2 = \operatorname{Re}(V_1^* I_1) \quad (40)$$

= power delivered to port 1.

If port 1 is matched (so that  $Z_1 = V_1/I_1 = Z_{0,1}^*$ ), power  $|a_1|^2$  is completely absorbed by  $Z_1$ . If not matched, then power absorbed is  $|a_1|^2 - |b_1|^2$ .

### Generalized scattering matrix

Define it via  $[b] = [S][a]$ . S-matrix depends on the choice of normalizing impedance. Usually 50 Ohms, but can be anything and can even be complex.

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Calculating  $S_{ij}$ :

$$S_{ii} = \left. \frac{b_i}{a_i} \right|_{a_k=0, k \neq i, k=1, \dots, n} = \frac{V_i - Z_{0,i}^* I_i}{V_i + Z_{0,i}^* I_i} = \frac{Z_i - Z_{0,i}^*}{Z_i + Z_{0,i}} \quad (41)$$

which is input reflection coeff. with all other ports matched.

$$S_{ki} = \left. \frac{b_k}{a_i} \right|_{a_k=0, k \neq i, k=1, \dots, n} \quad (42)$$

= transducer power gain from i to k with ports other than i matched.

Parameter conversions: see tables. They all describe the same thing! Note that conversion to and from S-parameters is dependent on choice of  $Z_0$ .

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## S-parameters for transistors

Most common way of specifying transistor performance. Usually CE but can be converted to CB. CE  $\rightarrow$  CB conversion of S-parameters has to go through y or z-parameters.  $S_{CE} \rightarrow Y_{CE} \rightarrow Y_{CB} \rightarrow S_{CB}$

Simple R-C circuit S-chart in fig 1.9.1

For S-chart presentation see fig. 1.9.2.  $S_{11}$  and  $S_{22}$  typically behave as RLC circuits (fig. 1.9.3).

$S_{21}$  and  $S_{12}$  usually presented on polar plot. Interesting to see the magnitudes vs. freq. in fig. 1.9.7.  $|S_{21}|$  usually exhibits 6 db/octave fall-off.  $f_s$  is its cut-off frequency.

$S_{12}$  exhibits opposite behavior (why?).

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If no choice of ground terminal has been made  $\Rightarrow$  indefinite scattering matrix. Transistor treated as 3-port. This is a different matrix than the one discussed before, e.g.:

$$S_{11} = \frac{b_1}{a_1} \Big|_{a_2=0, a_3=0} \quad (43)$$

Note: sum of the rows and columns in indefinite S-matrix is unity!  
 $\Rightarrow$  no need to measure the third one.

